

12

MODIFIED PTO/SB/08 A & B (06-03)

Substitute for Form 1449 A & B/PTO

Complete if Known

Application Number	09/488,969
Confirmation Number	5170
Filing Date	January 21, 2000
First Named Inventor	William J. BAER
Art Unit	2176
Examiner Name	Mai Khanh NGUYEN
Attorney Docket Number	A8520

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

SEP 30 2003
Use as many sheets as necessary)

1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
MK		US 5,454,105		09-26-1995	Hatakeyama, et al.
MK		US 5,467,471		11-14-1995	Bader
MK		US 5,537,586		07-16-1996	Amram, et al.
MK		US 5,557,722		09-17-1996	DeRose, et al.
MK		US 5,644,776		07-01-1997	DeRose, et al.
MK		US 5,664,182		09-02-1997	Nierenberg, et al.
MK		US 5,664,189		09-02-1997	Wilcox, et al.
MK		US 5,758,351		05-26-1998	Gibson, et al.
MK		US 5,778,378		07-07-1998	Rubin
MK		US 5,813,000		09-22-1998	Furlani
MK		US 5,847,709		12-08-1998	Card, et al.

RECEIVED

OCT 01 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
MK		BarnesandNoble.com, Entertainment We Recommend, Copyright 1999, Pages 1-2, < http://web.archive.org/web/19991122052147/www.barnesandnoble.com/subjects/entert/entert.asp?pcount=0 >	
MK		The New York Times Company, The New York Times on the Web, Copyright 1999, Pages 1-3, < http://web.archive.org/web/19990208010553/http://times.com/ >	
MK		Dimick, Classical and Flamenco Guitar MIDI's, Copyright 1998, Pages 1-2, < http://web.archive.org/web/19990117081725/www.guitarist.com/midis/midis.htm >	
MK		KG Sinclair, Free E-books You Can Download, Copyright 1999, Pages 1-5, < http://web.archive.org/web/19991128041349/ksinclair.com/ebooks.htm >	
MK		Jeff Sutherland, Business object design and implementation workshop, ACM SIGPLAN OOPS Messenger, Addendum to the proceedings of the 10 th annual conference on Object-oriented programming systems, languages, and applications (Addendum), Oct. 1995, vol. 6, Issue 4.	
MK		Haim Kilov et al., Semantic integration in complex systems, ACM SIGPLAN OOPS Messenger, Addendum to the proceedings of the 10 th annual conference on Object-oriented programming systems, languages, and applications (Addendum), Oct. 1995, vol. 6, Issue 4.	

Examiner Signature

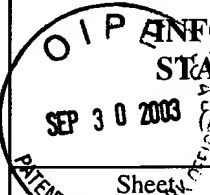
monkhanhnguyen

Date Considered

11/20/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.

Substitute for Form 1449 A & B/PTO		<i>Complete if Known</i>	
		Application Number	09/488,969
		Confirmation Number	5170
		Filing Date	January 21, 2000
		First Named Inventor	William J. BAER
		Art Unit	2176
		Examiner Name	Mai Khanh NGUYEN
Sheet <u>2</u> of <u>3</u>		Attorney Docket Number	A8520

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
MK		US 5,875,446		02-23-1999	Brown, et al.
MK		US 5,877,445		03-02-1999	Hufford, et al.
MK		US 5,911,074		06-08-1999	Leprince, et al.
MK		US 5,913,065		06-15-1999	Faustini
MK		US 5,918,052		06-29-1999	Kruskal, et al.
MK		US 5,959,627		09-28-1999	Duwaer, et al.
MK		US 5,963,940		10-05-1999	Liddy, et al.
MK		US 5,966,707		10-12-1999	Van Huben, et al.
MK		US 5,977,967		11-02-1999	Berner, et al.
MK		US 5,987,242		11-16-1999	Bentley, et al.
MK		US 6,012,890		01-11-2000	Celorio Garrido
MK		US 6,018,627		01-25-2000	Iyengar, et al.
MK		US 6,026,416		02-15-2000	Kanerva, et al.
MK		US 6,052,528		04-18-2000	Dechamboux
MK		US 6,055,544		04-25-2000	DeRose, et al.
MK		US 6,065,026		05-16-2000	Cornelia, et al.
MK		US 6,101,500		08-08-2000	Lau
MK		US 6,122,641		09-19-2000	Williamson, et al.
MK		US 6,131,100		10-10-2000	Zellweger
MK		US 6,134,552		10-17-2000	Fritz, et al.
MK		US 6,134,594		10-17-2000	Helland, et al.
MK		US 6,134,706		10-17-2000	Carey, et al.
MIC		US 6,163,781		12-19-2000	Wess, Jr.
MIC		US 6,173,286		01-09-2001	Guttman, et al.
MIC		US 6,173,407		01-09-2001	Yoon, et al.

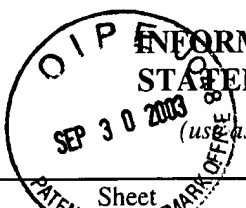
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature	<i>Mai Khanh Nguyen</i>	Date Considered	11/20/03
--------------------	-------------------------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

Substitute for Form 1449 A & B/PTO 				<i>Complete if Known</i>	
				Application Number	09/488,969
				Confirmation Number	5170
				Filing Date	January 21, 2000
				First Named Inventor	William J. BAER
				Art Unit	2176
				Examiner Name	Mai Khanh NGUYEN
Sheet <u>3</u> of <u>3</u>				Attorney Docket Number	A8520

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
MK		US 6,178,463		01-23-2001	Houston, et al.
MK		US 6,199,082		03-06-2001	Ferrel, et al.
MK		US 6,199,197		03-06-2001	Engstrom, et al.
MK		US 6,212,530		04-03-2001	Kadlec
MK		US 6,236,988		05-22-2001	Aldred
MK		US 6,240,407		05-29-2001	Chang, et al.
MK		US 6,243,709		06-05-2001	Tung
MK		US 6,269,361		07-31-2001	Davis, et al.
MK		US 6,275,977		08-14-2001	Nagai, et al.
MK		US 6,279,007		08-21-2001	Uppala
MK		US 6,286,028		09-04-2001	Cohen, et al.
MK		US 6,289,500		09-11-2001	Baxter, et al.
MK		US 6,298,354		10-02-2001	Saulpaugh, et al.
MK		US 6,308,176		10-23-2001	Bagshaw
MK		US 6,308,314		10-23-2001	Carlson, et al.
MK		US 6,314,420		11-06-2001	Lang, et al.
MK		US 6,339,838		01-15-2002	Weinman, Jr.
MK		US 6,347,333		02-12-2002	Eisendrath, et al.
MK		US 6,411,993		06-25-2002	Reynolds, et al.
MK		US 6,415,316		07-02-2002	Van Der Meer
MK		US 6,442,577		08-27-2002	Britton, et al.
MK		US 6,470,171		10-22-2002	Helmick, et al.

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.		Translation ⁶

Examiner Signature	<i>Mai Khanh Nguyen</i>	Date Considered	11/20/03
--------------------	-------------------------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.